



<b>Substitute for form 1449A-P-10</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	<b>Application Number</b>	10/771,788
	<b>Filing Date</b>	February 4, 2004
	<b>First Named Inventor</b>	Espiau, Frederick
	<b>Group Art Unit</b>	2821
	<b>Examiner Name</b>	David Hung Vu
Sheet 1 of 13		Attorney Docket No: 2447.001US2

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2007/0075652A1, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2203	04/05/2007	Espiau, F. M., et al.	10/04/2006
	US-6,664,842, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2211	12/16/2003	Pobanz, C. W.	12/28/2001
	US-6,980,021, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2212	12/27/2005	Srivastava, N. K., et al.	06/18/2004
	US-7,253,091, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2210	08/07/2007	Brewer, P. D.	09/26/2002

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## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

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		"A Ceramic Wave-Guide Lamp - From a Concept to Reality", <u>Espiau Exhibit 1208, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 4, 2000), 12 pgs.</u>	
		"An Integrated Lamp - Waveguide Concept", <u>Espiau Exhibit 1032, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 11, 2000), 13 pgs.</u>	
		"Application Serial No. 09/809,718 (Atty Ref PLASLAMP-01 / 2447.001US1), Amendments Under 37 C.F.R. Sec. 1.312 mailed 12-15-2003", 9 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Non-Final Office Action mailed 6-10-04", 6 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Notice of Allowance mailed 10-21-2004", 4 pgs.	
		"Application Serial No. 10/356,340 (Atty Ref PLASLAMP-02 / 2447.002US1), Notice of Allowance mailed 2-23-05", 4 pgs.	
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		"Application Serial No. 10/947,800 (Atty. Ref. 2447.003US1) Non Final Office Action mailed 07-12-2007", 16 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Non Final Office Action mailed 04-04-2007", 22 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Non Final Office Action mailed 06-14-2006", 25 pgs	
		"Application Serial No. 11/010,093 (Atty. Ref. 2447.002US2) Response filed 12-13-2006 to Non Final Office Action mailed 06-14-2006", 13 pgs	
		"Application Serial No. 11/083,552 (Atty. Ref. 2447.001US5) Non Final Office Action mailed 08-10-2007", OARN, 34 pgs	
		"Application Serial No. 11/083,552 (Atty. Ref. 2447.001US5) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Non Final Office Action mailed 08-30-2006", 18 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Notice of Allowance mailed 05-03-2007", 19 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Response filed 01-19-2007 to Non Final Office Action mailed 08-30-2006", 13 pgs	
		"Application Serial No. 11/083,558 (Atty. Ref. 2447.001US7) Preliminary Amendment filed 04-26-2007", 5 pgs	
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		"Application Serial No. 11/083,559 (Atty. Ref. 2447.001US8) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/084,069 (Atty. Ref. 2447.001US4), Non-Final Office Action mailed 8-9-2007", 35 pgs.	
		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Non Final Office Action mailed 08-09-2007", OARN,34 pgs	
		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Preliminary Amendment filed 07-15-2005", 4 pgs	
		"Application Serial No. 11/618,673 (Atty. Ref. 2447.002US4) Preliminary Amendment filed 12-29-2006", 8 pgs	
		"Channel Microwave Quote No. 100102 re: Various Items", <u>Espiau Exhibit 1162, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 18, 200),2 pgs.</u>	
		"Complaint for Damages and Injunctive and Other Relief", <u>Guthrie Exhibit 2229, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 23, 2001),38 pgs.</u>	
		"Conference Call", <u>Guthrie Exhibit 2230, Board of Patent Appeals and Interferences (Interference No. 105,393), (Feb. 9, 2006),25 pgs.</u>	
		"Conference Call", <u>Guthrie Exhibit 2225, Board of Patent Appeals and Interferences (Interference No. 105,393), (Mar. 8, 2007),24 pgs.</u>	
		"Coorstek Quotation", <u>Espiau Exhibit 1197, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 2, 2000),3 pgs.</u>	
		"Cross-Examination of Carl W., Pobanz", <u>Espiau Exhibit 1236, Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Solutions,(Aug. 19, 2007),68 pgs.</u>	
		"Cross-Examination of David Pozar", <u>Espiau Exhibit 1063, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 19, 2006),196 pgs.</u>	
		"Cross-Examination of Matt Espiau (Videotaped )", <u>Espiau Exhibit 1239, Board of Patent Appeals and Interferences(Interference No. 105,393), Merrill Legal Solutions,(Aug. 17, 2007),225 pgs</u>	
		"Declaration of Carl W. Pobanz", <u>Espiau Exhibit 1030, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 27, 2006),7 pgs.</u>	
		"Declaration of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1051, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 22, 2006),3 pgs.</u>	
		"Declaration of David Turner", <u>Espiau Exhibit 1034, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 27, 2006),5 pgs.</u>	
		"Declaration of Ellen Thotus", <u>Espiau Exhibit 1242, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Declaration of Frederick Matthew Espiau", <u>Guthrie Exhibit 2227, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 9, 2000),68 pgs.</u>	

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	First Named Inventor	Espiau, Frederick
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	Examiner Name	David Hung Vu
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		"Declaration of Frederick Matthew Espiau", <u>Espiau Exhibit 1168, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 29, 2007),17 pgs.</u>	
		"Declaration of Penny Ortega", <u>Espiau Exhibit 1244, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Declaration of Regis C. Worley, Jr.", <u>Guthrie Exhibit 2234, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 20, 2007),3 pgs.</u>	
		"Deposition (video transcript) of Chandrashekhar Joshi, M.D.", <u>Espiau Exhibit 1234, Board of Patent Appeals and Interferences(Interference No. 105,393), Merrill Legal Solutions,(Aug. 13, 2007),152 pgs.</u>	
		"Deposition of Anthony Cooper", <u>Guthrie Exhibit 2218, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 9, 2007),27 pgs.</u>	
		"Deposition of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1068, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 7, 2006),139 pgs.</u>	
		"Deposition of Charles Guthrie", <u>Guthrie Exhibit 2222, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 14, 2007),23 pgs.</u>	
		"Deposition of David B. Turner", <u>Espiau Exhibit 1237 Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Services,(Aug. 20, 2007),201 pgs.</u>	
		"Deposition of David Smoler", <u>Guthrie Exhibit 2223, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 15, 2007),19 pgs.</u>	
		"Deposition of Dennis R. Nunes", <u>Guthrie Exhibit 2214, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007),24 pgs.</u>	
		"Deposition of Donald M. Wilson", <u>Guthrie Exhibit 2215, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007),77 pgs.</u>	
		"Deposition of Floyd Pothoven", <u>Guthrie Exhibit 2219, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 9, 2007),23 pgs.</u>	
		"Deposition of Gregory Prior", <u>Guthrie Exhibit 2216, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 7, 2007),43 pgs.</u>	
		"Deposition of Joseph Bennett", <u>Guthrie Exhibit 2221, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 12, 2007),17 pgs.</u>	
		"Deposition of Madhu S. Gupta, Ph.D.", <u>Guthrie Exhibit 2220, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 10, 2007),38 pgs.</u>	
		"Deposition of Shelby Katz", <u>Guthrie Exhibit 2224, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 16, 2007),15 pgs.</u>	
		"Deposition of Vivek Gandhi", <u>Guthrie Exhibit 2217, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 8, 2007),14 pgs.</u>	
		"Diagram", <u>Guthrie Exhibit 2205, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed),1 pg.</u>	
		"Diagram", <u>Guthrie Exhibit 2200, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed),1 pg.</u>	

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		"Dielectric Resonator Concept for Plasma Lamps", <u>Espiau Exhibit 1138, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 20, 2000),5 pgs.</u>	
		"DRI - Tenco Team Plasma Lamp Business Model", <u>Espiau Exhibit 1145, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007),1 pg.</u>	
		"DRI Schematic", <u>Espiau Exhibit 1132, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007),2 pgs.</u>	
		"E-mail to D. Turner et al", <u>Espiau Exhibit 1228, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 14, 2002),1 pg.</u>	
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		"E-mail to Espiau re: Meeting", <u>Espiau Exhibit 1227, Board of Patent Appeals and Interferences(Interference No. 105,393), (Apr. 26, 2002),1 pg.</u>	
		"E-mail to Nicola A Pisano", <u>Guthrie Exhibit 2232, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 23, 2007),1 pg.</u>	
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		"E-mail to Wayne Catlett et al, re Lamp Schedule", <u>Espiau Exhibit 1224, Board of Patent Appeals and Interferences(Interference No. 105,393), (7/21/00),1 pg.</u>	
		"Errata to the transcript of the August 13, 2007 deposition of Chandrashekhar J. Joshi", <u>Espiau Exhibit 1235, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 28, 2007),5 pgs.</u>	
		"Errata to the transcript of the August 14, 2007 deposition of Charles Guthrie", <u>Guthrie Exhibit 2238, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 30, 2007),3 pgs.</u>	
		"Errata to the transcript of the August 15, 2007 deposition of David Smoler", <u>Guthrie Exhibit 2237, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007),3 pgs.</u>	
		"Errata to the transcript of the August 17, 2007 Deposition of Frederick "Matt" Espiau", <u>Espiau Exhibit 1240, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 28, 2007),4 pgs.</u>	
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		"Errata to the transcript of the August 9, 2007 of Floyd Pothoven", <u>Guthrie Exhibit 2235, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.</u>	
		"Espiau Motion 7 for Priority", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (July 30, 2007), 94 pgs.</u>	
		"Espiau notice of deposition for cross examination and intent to vido record the deposition of Dennis Nunes", <u>Espiau Exhibit 1223, Board of Patent Appeals and Interferences(Interference No. 105,393), (Aug. 6, 2007), 3 pgs.</u>	
		"Espiau Opposition 7 (to Guthrie Priority Motion 7)", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 121 pgs.</u>	
		"Espiau Third Declaration of David B. Turner", <u>Espiau Exhibit 1233, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 21, 2007), 10 pgs.</u>	
		"Experiment 1: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1026, Board of Patent Appeals and Interferences(Interference No. 105,393), (Winter, 1995), 5 pgs.</u>	
		"Experiment 3: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1025, Board of Patent Appeals and Interferences(Interference No. 105,393), (October 24, 1990), 15 pgs.</u>	
		"Fifth Declaration of David M. Pozar", <u>Espiau Exhibit 1183, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007), 17 pgs.</u>	
		"Financial Ledger", <u>Espiau Exhibit 1241, Board of Patent Appeals and Interferences (Interference No. 105,393), (1999-2001), 3 pgs.</u>	
		"FWH of Provisional Application Serial No. 60/222,028 for Plasma Lamp", <u>Espiau Exhibit 1012, Board of Patent Appeals and Interferences(Interference No. 105,393), 28 pgs.</u>	
		"Graph With Handwritten Notes", <u>Espiau Exhibit 1155, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 3 pgs.</u>	
		"Guthrie Exhibit List", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 13 pgs.</u>	
		"Guthrie Opposition 7", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 31, 2007), 122 pgs.</u>	
		"Handwitten Provisional Draft for An Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1031, Board of Patent Appeals and Interferences(Interference No. 105,393), (July 30, 2007), 13 pgs.</u>	
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		"In Re Digital Reflections, Inc. - Chapter 7 Bankruptcy", <u>Espiau Exhibit 1243, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 28, 2002), 57 pgs.</u>	
		"Invoice relating to DRI Plasma Lamp Project", <u>Exhibit 1219, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 6, 2000), 3 pgs.</u>	
		"IPLDWC Design I Drawings", <u>Espiau Exhibit 1180, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 14, 2000), 2 pgs.</u>	
		"Luxim Corporation Project Summit", <u>Guthrie Exhibit 2201, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 17, 2007), 20 pgs.</u>	
		"MasterCard Statement - TravelersBank", <u>Espiau Exhibit 1027, Board of Patent Appeals and Interferences (Interference No. 105,393), (April, 2000), 1 pg.</u>	
		"May 21, 2007 Hearing", <u>Guthrie Exhibit 2231, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 21, 2007), 33 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1213, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1189, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 28, 2000), 2 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1153, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 3, 2000), 4 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1137, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 20, 2000), 3 pgs.</u>	
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		"Notebook Pages from Matt Espiau", <u>Espiau Exhibit 1127, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 27, 2000), 13 pgs.</u>	
		"Notebook Pages from Yian Chang", <u>Espiau Exhibit 1126, Board of Patent Appeals and Interferences (Interference No. 105,393), (August, 2000), 57 pgs.</u>	
		"Notes", <u>Espiau Exhibit 1229, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 14, 2002), 2 pgs.</u>	
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<b>First Named Inventor</b>	Espiau, Frederick
<b>Group Art Unit</b>	2821
<b>Examiner Name</b>	David Hung Vu

Sheet 8 of 13

Attorney Docket No: 2447.001US2

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